

PRINCIPAL INVESTIGATOR CERTIFICATION
PHASE II
SMALL BUSINESS INNOVATION RESEARCH
and
SMALL BUSINESS TECHNOLOGY TRANSFER

Section 1.5 of the FY2003 SBIR and STTR Program Solicitations states that the Principal Investigator's (PI) primary source of employment must be with the small business at the time of award and during the conduct of the proposed research. Primary employment means that more than one-half of the PI's time, but no less than 20 hours (average) per week, is spent in the employment of the small business during the conduct of the project. Primary employment with a small business precludes full-time employment with another organization.

Please complete the certification below. A contracting officer will request this signed and witnessed copy during the award negotiation.

Principal Investigator Certification

SBIR or STTR Grant Application Number:

DOE Grant Number:

Project Title:

I hereby certify that _____ is/will be my primary source of employment at both the time of award and during the conduct of the project. In addition, I will spend an average of at least 5 hours per week on the project for its duration.

Typed Name of Principal Investigator

(Date)

Signature of Principal Investigator

(Date)

Signature of Witness

If for some reason certification cannot be made by the above mentioned PI, contact the SBIR/STTR office at 301-903-0569 immediately. Inability to certify the PI may make your company ineligible for an award.